Treatment of event-by-event fluctuations for comparison with experiment

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QCD phase diagram with heavy-ion collisions





STAR event display

Figure from Bzdak et al., Phys. Rept. '20

Thousands of particles created in relativistic heavy-ion collisions

Apply concepts of statistical mechanics

Event-by-event fluctuations and statistical mechanics



Cumulants measure chemical potential derivatives of the (QCD) equation of state

Event-by-event fluctuations and statistical mechanics

Cumulants measure chemical potential derivatives of the (QCD) equation of state

• (QCD) critical point



M. Stephanov, PRL '09 Energy scans at RHIC (STAR) and CERN-SPS (NA61/SHINE)

• Test of (lattice) QCD at $\mu_B \approx 0$



Figure from Bazavov et al. PRD 95, 054504 (2017) Probed by LHC and top RHIC

Freeze-out from fluctuations



Borsanyi et al. PRL 113, 052301 (2014) Bazavov et al. PRL 109, 192302 (2012)

Example: Nuclear liquid-gas transition



VV, Anchishkin, Gorenstein, Poberezhnyuk, PRC 92, 054901 (2015)

Theory vs experiment

Theory



 $\ensuremath{\mathbb{C}}$ Lattice QCD@BNL

- Coordinate space
- In contact with the heat bath
- Conserved charges
- Uniform
- Fixed volume

Experiment



STAR event display

- Momentum space
- Expanding in vacuum
- Non-conserved particle numbers
- Inhomogenous
- Fluctuating volume

Theory vs experiment

- accuracy of the grand-canonical ensemble (global conservation laws)
 - subensemble acceptance method (SAM)

VV, Savchuk, Poberezhnyuk, Gorenstein, Koch, PLB 811, 135868 (2020); JHEP 089(2020); arXiv:2106.13775

coordinate vs momentum space

Ling, Stephanov, PRC 93, 034915 (2016); Ohnishi, Kitazawa, Asakawa, PRC 94, 044905 (2016)

 proxy observables in experiment (net-proton, net-kaon) vs conserved charges in QCD (net-baryon, net-strangeness)

Kitazawa, Asakawa, PRC 85, 021901 (2012); VV, Jiang, Gorenstein, Stoecker, PRC 98, 024910 (2018)

volume fluctuations

Gorenstein, Gazdzicki, PRC 84, 014904 (2011); Skokov, Friman, Redlich, PRC 88, 034911 (2013) X. Luo, J. Xu, B. Mohanty, JPG 40, 105104 (2013); Braun-Munzinger, Rustamov, Stachel, NPA 960, 114 (2017)

• hadronic phase

Steinheimer, VV, Aichelin, Bleicher, Stoecker, PLB 776, 32 (2018)

• non-equilibrium (memory) effects

Mukherjee, Venugopalan, Yin, PRC 92, 034912 (2015) Asakawa, Kitazawa, Müller, PRC 101, 034913 (2020)



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 $\mathsf{STAR} \text{ event display}$

When are the measured fluctuations grand-canonical?

- Consider event-by-event fluctuations of particle number in acceptance ΔY_{accept} around midrapidity
- Scales
 - ΔY_{accept} acceptance
 - ΔY_{total} full space
 - ΔY_{corr} rapidity correlation length (thermal smearing)
 - ΔY_{kick} diffusion in the hadronic phase
- **GCE** applies if $\Delta Y_{total} \gg \Delta Y_{accept} \gg \Delta Y_{kick}, \Delta Y_{corr}$
- In practice $\Delta Y_{total} \gg \Delta Y_{accept}$ and $\Delta Y_{accept} \gg \Delta Y_{corr}$ are not simultaneously satisfied
 - Corrections from global conservation are large [Bzdak et al., PRC '13]
 - $\Delta Y_{corr} \sim 1 \sim \Delta Y_{accept}$ [Ling, Stephanov, PRC '16]







VV, Savchuk, Poberezhnyuk, Gorenstein, Koch, PLB 811, 135868 (2020)

Subensemble acceptance method (SAM) – method to correct *any* EoS (e.g. *lattice QCD*) for **charge conservation** and extract the **susceptibilities**

Partition a thermal system with a globally conserved charge *B* (canonical ensemble) into two subsystems which can exchange the charge

Assume thermodynamic limit:

$$V, V_1, V_2 \rightarrow \infty; \quad \frac{V_1}{V} = \alpha = const; \quad \frac{V_2}{V} = (1 - \alpha) = const;$$

 V_1 , $V_2 \gg \xi^3$, $\xi = ext{correlation length}$

The canonical partition function then reads:

$$Z^{
m ce}(T, V, B) = {
m Tr} \, e^{-eta \hat{H}} pprox \sum_{B_1} \, Z^{
m ce}(T, V_1, B_1) \, Z^{
m ce}(T, V - V_1, B - B_1)$$

The probability to have charge B_1 is:

$$P(B_1) \propto Z^{ ext{ce}}(T, lpha V, B_1) Z^{ ext{ce}}(T, (1-lpha) V, B - B_1), \qquad lpha \equiv V_1/V$$

 $V_1 + V_2 = V$







SAM: Computing the cumulants

VV, Savchuk, Poberezhnyuk, Gorenstein, Koch, PLB 811, 135868 (2020)

Cumulant generating function for B_1 :

$$G_{B_1}(t) \equiv \ln \langle e^{t B_1} \rangle = \ln \left\{ \sum_{B_1} e^{t B_1} \exp \left[-\frac{\alpha V}{T} f(T, \rho_{B_1}) \right] \exp \left[-\frac{\beta V}{T} f(T, \rho_{B_2}) \right] \right\} + \tilde{C} \qquad \beta = 1 - \alpha$$

$$\tilde{\kappa}_1[B_1(t)] = \frac{\sum_{B_1} B_1 \tilde{P}(B_1; t)}{\sum_{B_1} \tilde{P}(B_1; t)} \equiv \langle B_1(t) \rangle \quad \text{with} \quad \tilde{P}(B_1; t) = \exp\left\{tB_1 - V \frac{\alpha f(T, \rho_{B_1}) + \beta f(T, \rho_{B_2})}{T}\right\}$$

Thermodynamic limit: $\tilde{P}(B_1; t)$ highly peaked at $\langle B_1(t) \rangle$

 $\langle B_1(t) \rangle$ is a solution to equation $d\tilde{P}/dB_1 = 0$:

$$t=\hat{\mu}_{B}[extsf{T},
ho_{B_{1}}(t)]-\hat{\mu}_{B}[extsf{T},
ho_{B_{2}}(t)]$$

where $\hat{\mu}_B \equiv \mu_B/T$, $\mu_B(T, \rho_B) = \partial f(T, \rho_B)/\partial \rho_B$



t = 0: $\rho_{B_1} = \rho_{B_2} = B/V$, $B_1 = \alpha B$, i.e. charge uniformly distributed between the subsystems

SAM: Cumulant ratios in terms of GCE susceptibilities

$$\kappa_n[B_1] = \frac{\partial^{n-1} \tilde{\kappa}_1[B_1(t)]}{\partial t^{n-1}} \bigg|_{t=0} \qquad \longrightarrow \qquad \frac{\partial^n}{\partial t^n} : \ t = \hat{\mu}_B[T, \rho_{B_1}(t)] - \hat{\mu}_B[T, \rho_{B_2}(t)]$$

scaled variance $\frac{\kappa_2[B_1]}{\kappa_1[B_1]} = (1 - \alpha) \frac{\chi_2^B}{\chi_1^B},$

 $\chi_n^B \equiv \partial^{n-1} (\rho_B/T^3) / \partial (\mu_B/T)^{n-1}$

skewness

$$rac{\kappa_3[B_1]}{\kappa_2[B_1]} = (1 - 2\alpha) \, rac{\chi_3^B}{\chi_2^B},$$

kurtosis

$$\frac{\kappa_4[B_1]}{\kappa_2[B_1]} = (1 - 3\alpha\beta) \frac{\chi_4^B}{\chi_2^B} - 3\alpha\beta \left(\frac{\chi_3^B}{\chi_2^B}\right)^2.$$

- Global conservation (α) and equation of state (χ_n^B) effects factorize in cumulants up to the 3rd order, starting from κ_4 not anymore
- $\alpha \to 0 \text{GCE limit}^*$, $\alpha \to 1 \text{CE limit}$ *As long as $V_1 \gg \xi^3$ holds

For *multiple conserved charges* (joint B,Q,S cumulants up to 6th order) see VV, Poberezhnyuk, Koch, JHEP 10, 089 (2020)

Classical molecular dynamics simulations* of a **Lennard-Jones fluid** along the (super)critical isotherm of the liquid-gas transition

Microcanonical (const. EVN) ensemble with periodic boundary conditions

Variance of conserved particle number distribution inside coordinate space subvolume $|z| < z^{max}$ as time average

$$ilde{\omega}^{\mathsf{coord}} = rac{1}{1-lpha} \, rac{\langle N^2
angle - \langle N
angle^2}{\langle N
angle}$$









*Molecular dynamics code from https://github.com/vlvovch/lennard-jones-cuda

Net baryon fluctuations at LHC from lattice QCD ($\mu_B = 0$)

Momentum rapidity $y \approx$ space-time rapidity η_s

VV, Savchuk, Poberezhnyuk, Gorenstein, Koch, PLB 811, 135868 (2020)



Theory: negative χ_6^B/χ_2^B is a possible signal of chiral criticality [Friman, Karsch, Redlich, Skokov, EPJC '11] **Experiment:** $\alpha \approx \frac{N_{ch}(\Delta y)}{N_{ch}(\infty)} \approx \operatorname{erf}\left(\frac{\Delta y}{2\sqrt{2}\sigma_y}\right)$, for $\Delta y \approx 1$ the κ_6/κ_2 is mainly sensitive to the EoS

 $N_{ch}(\Delta y)$ measurement: ALICE Collaboration, PLB 726 (2013) 610-622

SAM: Applicability and limitations

- Argument is based on partition in *coordinate* space but experiments measure in *momentum* space
 - OK at high energies where we have **Bjorken flow** $[Y \sim \eta_s = \operatorname{atanh}(z/t)]$
 - For small $\Delta Y_{acc} < 1:$ corrections due to thermal smearing and resonance decays
 - Limited applicability at lower energies
- Thermodynamic limit i.e. $V_1, V_2 \gg \xi^3$:
 - OK at LHC where $\frac{dV}{dy} \sim 4000 5000 \text{ fm}^3 \text{ vs. } V_{lattice} \sim 125 \text{ fm}^3$
 - Applicability is more limited near the critical point
- Assumes T, $\mu_B = const$ everywhere



To address these issues one needs a *dynamical description*

Approaches to dynamical modeling of fluctuations

- 1. Dynamical model calculations of critical fluctuations
 - Fluctuating hydrodynamics
 - Equation of state with tunable critical point
 - Predict CP signatures dependent on its location

Under development within the Beam Energy Scan Theory (BEST) Collaboration

[X. An et al., Nucl. Phys. A 1017, 122343 (2022)]

2. Study deviations from the non-critical baseline

- Include essential non-critical contributions to (net-)proton number cumulants
- Exact baryon conservation + baryon excluded volume
- Based on realistic hydrodynamic simulations

[VV, C. Shen, V. Koch, arXiv:2107.00163]



Hydrodynamic description in a non-critical scenario

- Collision geometry based 3D initial state [Shen, Alzhrani, PRC '20]
 - Constrained to net proton distributions
- Viscous hydrodynamics evolution MUSIC-3.0
 - Energy-momentum and baryon number conservation
 - NEOS-BQS crossover equation of state [Monnai, Schenke, Shen, PRC '19]
 - Shear viscosity via IS-type equation
- Cooper-Frye particlization at $\epsilon_{sw} = 0.26 \text{ GeV}/\text{fm}^3$

$$\omega_p \frac{dN_j}{d^3 p} = \int_{\sigma(x)} d\sigma_\mu(x) p^\mu \frac{d_j \lambda_j^{\text{ev}}(x)}{(2\pi)^3} \exp\left[\frac{\mu_j(x) - u^\mu(x)p_\mu}{T(x)}\right]$$

- Particlization includes QCD-based baryon number distribution
 - Here incorporated via baryon excluded volume

[VV, Pasztor, Fodor, Katz, Stoecker, PLB 775, 71 (2017)]







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Calculating cumulants from hydrodynamics

- Strategy:
 - 1. Calculate proton cumulants in the experimental acceptance in the grand-canonical limit
 - 2. Apply correction for the exact global baryon number conservation

First step:

- Sum contributions from each hypersurface element x_i at freeze-out
 - Cumulants of joint (anti)proton/(anti)baryon distribution

$$\kappa_{n,m}^{B^{\pm},p^{\pm},\text{gce}}(\Delta p_{\text{acc}}) = \sum_{i \in \sigma} \, \delta \kappa_{n,m}^{B^{\pm},p^{\pm},\text{gce}}(x_i;\Delta p_{\text{acc}})$$

- To compute each contribution
 - GCE susceptibilities $\chi^{B^{\pm}}(x_i)$ define the distribution of the emitted (anti)baryons
 - Each baryon ends up in acceptance Δp_{acc} with binomial probability via the Cooper-Frye formula
 - Each baryon is a proton with probability $q(x_i) = \langle N_p(x_i) \rangle / \langle N_B(x_i) \rangle$



Correcting for baryon number conservation with SAM-2.0

$$P_1^{ ext{ce}}(B_1) \propto \sum_{B_1,B_2} P_1^{ ext{gce}}(B_1) P_2^{ ext{gce}}(B_2) imes rac{\delta_{B,B_1+B_2}}{\delta_{B,B_1+B_2}}$$

SAM-1.0: uniform thermal system and **coordinate** space

SAM-2.0: apply the correction for *arbitrary* distributions inside and outside the acceptance that are peaked at the mean

- Spatially inhomogeneous systems (e.g. RHIC)
- Momentum space
- Non-conserved quantities (e.g. proton number)
- Map "grand-canonical" cumulants inside and outside the acceptance to the "canonical" cumulants inside the acceptance

$$\kappa_{p,B}^{\text{in,ce}} = \mathsf{SAM}\left[\kappa_{p,B}^{\text{in,gce}}, \kappa_{p,B}^{\text{out,gce}}
ight]$$



VV, arXiv:2107.00163 (to appear in PRC)

Net proton cumulant ratios



- Both the baryon conservation and repulsion needed to describe data at $\sqrt{s_{NN}} \geq 20~{\rm GeV}$ quantitatively
- Effect from baryon conservation is larger than from repulsion
- Canonical ideal HRG limit is consistent with the data-driven study of [Braun-Munzinger et al., NPA 1008 (2021) 122141]
- κ_6/κ_2 turns negative at $\sqrt{s_{NN}} \sim 50$ GeV

Cumulants vs Correlation Functions

• Analyze genuine multi-particle correlations via factorial cumulants [Bzdak, Koch, Strodthoff, PRC '17]

$$\begin{split} \hat{C}_1 &= \kappa_1, & \hat{C}_3 &= 2\kappa_1 - 3\kappa_2 + \kappa_3, \\ \hat{C}_2 &= -\kappa_1 + \kappa_2, & \hat{C}_4 &= -6\kappa_1 + 11\kappa_2 - 6\kappa_3 + \kappa_4 \\ \hat{C}_n^{\text{cons}} &\propto \alpha^n, & \hat{C}_n^{\text{EV}} &\propto b^n \end{split}$$

[Bzdak, Koch, Skokov, EPJC '17]

,, [VV et al, PLB '17]

- Three- and four-particle correlations are small
 - Small positive \hat{C}_3/\hat{C}_1 in the data is explained by baryon conservation + excluded volume
 - Strong multi-particle correlations would be expected near the critical point [Ling, Stephanov, 1512.09125]
- Two-particle correlations are negative
 - Protons at $\sqrt{s_{NN}} \le 14.5$ GeV overestimated
 - Antiprotons at $19.6 \le \sqrt{s_{NN}} \le 62.4$ GeV underestimated



*We use the notation for (factorial) cumulants from Bzdak et al., Phys. Rept. '20

• Changing y_{max} slope at $\sqrt{s_{NN}} \le 14.5$ GeV?



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- Volume fluctuations? [Skokov, Friman, Redlich, PRC '13]
 - $C_2/C_1 += C_1 * v_2$



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 - $C_2/C_1 += C_1 * v_2$
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- Exact electric charge conservation?
 - Worsens the agreement at $\sqrt{s_{NN}} \leq 14.5\,,$ higher energies virtually unaffected



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das

μ (MeV)

- **Attractive interactions?**
 - Could work if baryon repulsion turns • into attraction in the high- μ_B regime (MeV) 20
 - **Critical point?** ٠



Outlook: baryon cumulants from protons

- net baryon ≠ net proton
- Baryon cumulants can be reconstructed from proton cumulants via binomial (un)folding based on isospin randomization [Kitazawa, Asakawa, Phys. Rev. C 85 (2012) 021901]
 - Amounts to an additional "efficiency correction" and requires the use of joint factorial moments, only experiment can do it model-independently





unfolding



- Net protons described within errors but not sensitive to the equation of state for the present experimental acceptance
- Large effect from resonance decays for lighter particles + conservation of electric charge/strangeness
- Future measurements will require larger acceptance







Effects of baryon annihilation and local conservation

O. Savchuk, V.V., V. Koch, J. Steinheimer, H. Stoecker, arXiv:2106.08239

Baryon annihilation $B\overline{B} \rightarrow n\pi$ in afterburners (UrQMD, SMASH) suppresses baryon yields



- ALICE data requires local baryon conservation across $\Delta y \sim \pm 1.5$ with UrQMD annihilations (no regenerations) or global conservation ($\Delta y \sim \Delta y_{tot}$) without annihilations
- Local conservation and $B\bar{B}$ annihilation can be constrained from data through the combined analysis of $\kappa_2[p-\bar{p}]$ and $\kappa_2[p+\bar{p}]$

Lower energies $\sqrt{s_{NN}} \le 7.7$ GeV

- Intriguing hint from HADES @ $\sqrt{s_{NN}} = 2.4$ GeV: huge two-particle correlations! [HADES Collaboration, PRC 102, 024914 (2020)]
- Extend the calculations down to $\sqrt{s_{NN}} = 3$ GeV by means of the blast-wave model
- No change of trend in the non-critical baseline
- Other important effects to consider
 - Light nuclei formation
 - Nuclear liquid-gas transition



Data from STAR-FXT eagerly awaited!

Thermodynamic analysis of HADES data

VV, Koch, in preparation

- Single freeze-out scenario: Emission from Siemens-Rasmussen hypersurface with Hubblelike flow
 - \rightarrow Pion and proton spectra o.k. [S. Harabasz et al., PRC 102, 054903 (2020)]
- Uniform $T \approx 70$ MeV, $\mu_B \approx 875$ MeV across the fireball [A. Motornenko et al., PLB 822, 136703 (2021)]
- Fluctuations:
 - Same as before but incorporate additional binomial filtering to account for protons bound in light nuclei
 - Uniform fireball \rightarrow Final proton cumulants are linear combinations of baryon susceptibilities χ_n^B





Thermodynamic analysis of HADES data

• In the grand-canonical limit (no baryon conservation) the data are described well with

$$\frac{\chi_2^B}{\chi_1^B} = 9.35 \pm 0.40, \qquad \frac{\chi_3^B}{\chi_2^B} = -39.6 \pm 7.2, \qquad \frac{\chi_4^B}{\chi_2^B} = 1130 \pm 488$$

- Could be indicative of a critical point near the HADES freeze-out at $T \approx 70$ MeV, $\mu_B \approx 875$ MeV
- However, the results are challenging to describe with baryon conservation included



Summary

- Fluctuations are a powerful tool to explore the QCD phase diagram
 - test of lattice QCD and equilibration, probe the QCD critical point
- Quantitative analysis of central collisions at $\sqrt{s_{NN}}$ =2.4-2760 GeV
 - Protons are described quantitatively at $\sqrt{s_{NN}} \ge 20$ GeV without critical point
 - Possible evidence for attractive proton interactions at $\sqrt{s_{NN}} \le 14.5$ GeV
 - Significant quantitative difference between protons and baryons
- Factorial cumulants carry rich information
 - Small three- and four-particle correlations in absence of critical point effects
- HADES data point to potentially huge (multi-)proton correlations but at odds with baryon conservation

Thanks for your attention!

