# Fluctuations and correlations in heavy-ion collisions as a probe of the QCD phase structure

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What we know



- Dilute hadron gas at low T &  $\mu_{\rm B}$  due to confinement, quark-gluon plasma high T &  $\mu_{\rm B}$
- Nuclear liquid-gas transition in cold and dense matter, lots of other phases conjectured
- Chiral crossover at  $\mu_B = 0$

## **QCD** under extreme conditions





- Dilute hadron gas at low T &  $\mu_{
  m B}$  due to confinement, quark-gluon plasma high T &  $\mu_{
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- Nuclear liquid-gas transition in cold and dense matter, lots of other phases conjectured
- Chiral crossover at  $\mu_B = 0$  which may turn into a *first-order phase transition* at finite  $\mu_B$

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- Chiral crossover at  $\mu_B = 0$  which may turn into a *first-order phase transition* at finite  $\mu_B$

Key question: Is there a QCD critical point and how to find it?

## **Extrapolations from lattice QCD at** $\mu_B = 0$

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Ideally, find the critical point through first-principle lattice QCD simulations at finite  $\mu_B$ 

0.35

0.3

0.25

0.2

0.15

0.2

0.1

 $c_s^2$ 

 $s/n_{\rm B} = 15$ 

90 130

50

• Challenging (sign problem), but perhaps not impossible? [Borsanyi et al., Phys. Rev. D 107, 091503L (2023)]

Taylor expansion + various resummations and extrapolation schemes from  $\mu_B = 0$ 



alternative expansion scheme

[Borsanyi et al. (WB), Phys. Rev. D 105, 114504 (2022)]



[Bollweg et al. (HotQCD), Phys. Rev. D 108, 014510 (2023)]

s/n<sub>B</sub> = ∞

400

100

Padé approximants

No indications for the strengthening of the chiral crossover or critical point signals Disfavors QCD critical point at  $\frac{\mu_B}{T} < 3$ 

## Searching for singularities in the complex plane



• See if it approaches the real axis as temperatures decreases



Critical Point: 3D-Ising scaling inspired fit:

$$Im \mu_{LY} = c(T - T_{CEP})^{\Delta}$$

$$Re \mu_{LY} = \mu_{CEP} + a(T - T_{CEP}) + b(T - T_{CEP})^{2}$$

$$T \sim 90-110 \text{ MeV}, \ \mu_{B} \sim 400-600 \text{ MeV}$$

NB: many things have to go right, systematic error still very large (up to 100%), no continuum limit (likely large cut-off effects)

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## **Effective QCD theories predictions**





All in excellent agreement with lattice QCD at  $\mu_B = 0$ and predict QCD critical point in a similar ballpark of  $\mu_B/T \sim 5-6$ 

If true, reachable in heavy-ion collisions at  $\sqrt{s_{NN}} \sim 3-5$  GeV

#### **Control** parameters

- Collision energy  $\sqrt{s_{NN}} = 2.4 5020 \text{ GeV}$ 
  - Scan the QCD phase diagram
- Size of the collision region
  - Expect stronger signal in larger systems

#### Measurements

 Final hadron abundances and momentum distributions event-by-event

#### Chemical freeze-out curve and CP

- Sets lower bound on the temperature of the CP
- **Caveats:** strangeness neutrality ( $\mu_S \neq 0$ ), uncertainty in the freeze-out curve



A. Lysenko, Poberezhnyuk, Gorenstein, VV, arXiv:2408.06473





Cumulants measure chemical potential derivatives of the (QCD) equation of state

• (QCD) critical point: large correlation length and fluctuations



M. Stephanov, PRL '09, '11 Energy scans at RHIC (STAR) and CERN-SPS (NA61/SHINE)

$$\kappa_2 \sim \xi^2$$
,  $\kappa_3 \sim \xi^{4.5}$ ,  $\kappa_4 \sim \xi^7$ 

 $\xi o \infty$ 

Looking for enhanced fluctuations and non-monotonicities

#### Other uses of cumulants:

- QCD degrees of freedom Jeon, Koch, PRL 85, 2076 (2000) Asakawa, Heinz, Muller, PRL 85, 2072 (2000)
- Extracting the speed of sound A. Sorensen et al., PRL 127, 042303 (2021)
- Conservation volume V<sub>C</sub> VV, Donigus, Stoecker, PRC 100, 054906 (2019)

## **Example: (Nuclear) Liquid-gas transition**



VV, Anchishkin, Gorenstein, Poberezhnyuk, PRC 92, 054901 (2015)

#### **Critical opalescence**



 $\langle N^2 \rangle - \langle N \rangle^2 \sim \langle N \rangle \sim 10^{23}$ in equilibrium



## **Example: Critical fluctuations in a microscopic simulation**

V. Kuznietsov et al., Phys. Rev. C 105, 044903 (2022)

0.50

α

0.75

1.0

g.c.e.

N = 400

Classical molecular dynamics simulations of the Lennard-Jones fluid near Z(2) critical point ( $T \approx 1.06T_c$ ,  $n \approx n_c$ ) of the liquid-gas transition

Scaled variance in coordinate space acceptance  $|z| < z^{max}$ 



- Large fluctuations survive despite strong finite-size effects
- Need coordinate space cuts (collective flow helps)
- Here no finite-time effects

Collective flow and finite-time effects explored in V. Kuznietsov et al., Phys. Rev. C 110, 015206 (2024)



Heavy-ion collisions: flow correlates  $p_z$  and z cuts



#### Measuring cumulants in heavy-ion collisions



Cumulants are extensive,  $\kappa_n \sim V$ , use ratios to cancel out the volume

$$\frac{\kappa_2}{\langle N \rangle}$$
,  $\frac{\kappa_3}{\kappa_2}$ ,  $\frac{\kappa_4}{\kappa_2}$ 

Look for subtle critical point signals



## **Theory vs experiment: Challenges for fluctuations**



#### Theory



 $\ensuremath{\mathbb{C}}$  Lattice QCD@BNL

- Coordinate space
- In contact with the heat bath
- Conserved charges
- Uniform
- Fixed volume

#### Experiment



STAR event display

- Momentum space
- Expanding in vacuum
- Non-conserved particle numbers
- Inhomogenous
- Fluctuating volume

#### Need dynamical description

#### **Coordinate vs Momentum space**

V. Kuznietsov et al., Phys. Rev. C 110 (2024) 015206









Utilizing the partition function in thermodynamic limit one can compute n-point density correlators

$$\begin{split} \mathcal{C}_{1}(\mathbf{r}_{1}) &= \rho(\mathbf{r}_{1}) \\ \mathcal{C}_{2}(\mathbf{r}_{1}, \mathbf{r}_{2}) &= \chi_{2}\delta(\mathbf{r}_{1} - \mathbf{r}_{2}) - \frac{\chi_{2}}{V} \\ \text{local correlation balancing contribution} \\ \text{(e.g. baryon conservation)} \\ \mathcal{C}_{3}(\mathbf{r}_{1}, \mathbf{r}_{2}, \mathbf{r}_{3}) &= \chi_{3}\delta_{1,2,3} - \frac{\chi_{3}}{V}[\delta_{1,2} + \delta_{1,3} + \delta_{2,3}] + 2\frac{\chi_{3}}{V^{2}} \\ \text{local correlation balancing contributions} \\ \mathcal{C}_{4}(\mathbf{r}_{1}, \mathbf{r}_{2}, \mathbf{r}_{3}, \mathbf{r}_{4}) &= \chi_{4}\delta_{1,2,3,4} - \frac{\chi_{4}}{V}[\delta_{1,2,3} + \delta_{1,2,4} + \delta_{1,3,4} + \delta_{2,3,4}] - \frac{(\chi_{3})^{2}}{\chi_{2}V}[\delta_{1,2}\delta_{3,4} + \delta_{1,3}\delta_{2,4} + \delta_{1,4}\delta_{2,3}] \\ \text{local correlation balancing contributions} \\ \mathcal{C}_{4}(\mathbf{r}_{1}, \mathbf{r}_{2}, \mathbf{r}_{3}, \mathbf{r}_{4}) &= \chi_{4}\delta_{1,2,3,4} - \frac{\chi_{4}}{V}[\delta_{1,2,3} + \delta_{1,2,4} + \delta_{1,3,4} + \delta_{2,3,4}] - \frac{(\chi_{3})^{2}}{\chi_{2}V}[\delta_{1,2}\delta_{3,4} + \delta_{1,3}\delta_{2,4} + \delta_{1,4}\delta_{2,3}] \\ \text{local correlation here} \\ + \frac{1}{V^{2}}\left[\chi_{4} + \frac{(\chi_{3})^{2}}{\chi_{2}}\right][\delta_{1,2} + \delta_{1,3} + \delta_{1,4} + \delta_{2,3} + \delta_{2,4} + \delta_{3,4}] - \frac{3}{V^{3}}\left[\chi_{4} + \frac{(\chi_{3})^{2}}{\chi_{2}}\right] . \end{split}$$

Integrating the correlator reproduces known cumulant inside a subsystem

$$\kappa_n[B_{V_s}] = \int_{\mathbf{r}_1 \in V_s} d\mathbf{r}_1 \dots \int_{\mathbf{r}_n \in V_s} d\mathbf{r}_n \, \mathcal{C}_n(\{\mathbf{r}_i\})$$

VV, Savchuk, Poberezhnyuk, Gorenstein, Koch, Phys. Lett. B 811, 135868 (2020)

### Proton cumulants at high energy





κ<sub>2</sub>[p − p̄]/⟨p + p̄⟩:
Largely understood as (global) baryon conservation
Larger suppression at 5 TeV contrary to naïve expectation

- Interplay: baryon annihilation( $\nearrow$ ) vs local conservation( $\checkmark$ )
  - Additional measurement of  $\kappa_2[p + \bar{p}]$  can resolve it O. Savchuk et al., PLB 827, 136983 (2022)



ALICE Collaboration, PLB 844, 137545 (2023)



## Hadron cumulants at LHC and local conservation



#### Slide from M. Ciacco, SQM2024



**Correlation volume V**<sub>C</sub>: truncate the fireball around few units of midrapidity and treat it canonically VV, Donigus, Stoecker, PRC 100, 054906 (2019)

## Local baryon conservation from density correlator VV. arXiv:2409.01397

Introduce Gaussian (space-time) rapidity correlation into baryon-conservation balancing term

+ local conservation

global conservation



• Linear regime at small a establishes connection to the  $V_C$  approach  $(V_C = k dV/dy, k \approx \sqrt{2\pi}\sigma_\eta)$ 

- $V_C$  approach has limitations, likely provides upper bound on the conservation volume
- Evidence for local (not just global) baryon conservation for 5 TeV data (in contrast to 2.76 TeV data)

#### Local baryon conservation and charge balance functions



Density correlators from canonical ensemble allow one to explore relation between susceptibilities and balance functions

 $B(\mathbf{r}_1|\mathbf{r}_2) = \frac{1}{2} \left[ \rho_2^{+-}(\mathbf{r}_1|\mathbf{r}_2) - \rho_2^{--}(\mathbf{r}_1|\mathbf{r}_2) + \rho_2^{-+}(\mathbf{r}_1|\mathbf{r}_2) - \rho_2^{++}(\mathbf{r}_1|\mathbf{r}_2) \right]$ Bass, Danielewicz, Pratt, PRL 85, 2689 (2000)

#### **Baryons and antibaryons :**

or

$$B^{+-}(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{\langle N^- \rangle} \left[ C_{11}^{+-}(\mathbf{r}_1, \mathbf{r}_2) - C_2^{--}(\mathbf{r}_1, \mathbf{r}_2) \right],$$
  
$$B^{-+}(\mathbf{r}_1, \mathbf{r}_2) = \frac{1}{\langle N^+ \rangle} \left[ C_{11}^{-+}(\mathbf{r}_1, \mathbf{r}_2) - C_2^{++}(\mathbf{r}_1, \mathbf{r}_2) \right],$$

0.2

0.4

0.6

Δy

0.8

Pruneau et al., PRC 107, 014902 (2023)



1.2

1.0

- 1. Dynamical model calculations of critical fluctuations
  - Fluctuating hydrodynamics (hydro+) and (non-equilibrium) evolution of fluctuations
  - Equation of state with a tunable critical point [P. Parotto et al, PRC 101, 034901 (2020); J. Karthein et al., EPJ Plus 136, 621 (2021)]
  - Generalized Cooper-Frye particlization [M. Pradeep, et al., PRD 106, 036017 (2022); PRL 130, 162301 (2023)]

Alternatives at high  $\mu_B$ : hadronic transport/molecular dynamics with a critical point [A. Sorensen, V. Koch, PRC 104, 034904 (2021); V. Kuznietsov et al., PRC 105, 044903 (2022)]

#### **2.** Deviations from precision calculations of non-critical fluctuations

- Non-critical baseline is not flat [Braun-Munzinger et al., NPA 1008, 122141 (2021)]
- Include essential non-critical contributions to (net-)proton number cumulants
- Exact baryon conservation + hadronic interactions (hard core repulsion)
- Based on realistic hydrodynamic simulations tuned to bulk data [VV, C. Shen, V. Koch, Phys. Rev. C 105, 014904 (2022)]







## Calculation of non-critical contributions at RHIC-BES



VV, V. Koch, C. Shen, Phys. Rev. C 105, 014904 (2022)

- (3+1)-D viscous hydrodynamics evolution (MUSIC-3.0)
  - Collision geometry-based 3D initial state [Shen, Alzhrani, PRC 102, 014909 (2020)]
  - Crossover equation of state based on lattice QCD
     [Monnai, Schenke, Shen, Phys. Rev. C 100, 024907 (2019)]
  - Cooper-Frye particlization at  $\epsilon_{sw} = 0.26 \text{ GeV}/\text{fm}^3$
- Non-critical contributions are computed at particlization
  - QCD-like baryon number distribution  $(\chi_n^B)$  via excluded volume b = 1 fm<sup>3</sup> [VV, V. Koch, Phys. Rev. C 103, 044903 (2021)]
  - Exact global baryon conservation\* (and other charges)
    - Subensemble acceptance method 2.0 (analytic) [VV, Phys. Rev. C 105, 014903 (2022)]
    - or FIST sampler (Monte Carlo) [VV, Phys. Rev. C 106, 064906 (2022)] https://github.com/vlvovch/fist-sampler
- Absent: critical point, local conservation, initial-state/volume fluctuations, hadronic phase

\*If baryon conservation is the only effect (no other correlations), non-critical baseline can be computed without hydro Braun-Munzinger, Friman, Redlich, Rustamov, Stachel, NPA 1008, 122141 (2021)



**Cooper-Frye formula:** 

$$\omega_p rac{dN_j}{d^3p} = \int_{\sigma(x)} d\sigma_\mu(x) \, p^\mu \, f_j[u^\mu(x)p_\mu;T(x),\mu_j(x)]$$

Calculation of the cumulants incorporates **balancing contributions from baryon conservation**\*

$$C_{1}^{B}(x_{1}) = \chi_{1}^{B}(x_{1}),$$

$$C_{2}^{B}(x_{1}, x_{2}) = \chi_{2}^{B}(x_{1}) \,\delta(x_{1} - x_{2}) - \frac{\chi_{2}^{B}(x_{1})\chi_{2}^{B}(x_{2})}{\int_{\sigma(x)} d\sigma_{\mu}(x) u^{\mu}(x) \,\chi_{2}^{B}(x)},$$

$$\int d\sigma_{\mu}(x_{i}) u^{\mu}(x_{i}) C_{n}^{B}(x_{1}, \dots, x_{n}) = 0 \quad \text{for} \quad n > 1$$

$$\dots$$

$$Determine (baryon conservation)$$

**Generalized Cooper-Frye:** 

$$\kappa_n^B = \prod_{i=1}^n \int_{x_i \in \sigma(x)} d\sigma_\mu(x_i) \int_{|y_i| < 0.5, \ 0.4 < p_T < 2} \frac{d^3 p_i}{\omega_{p_i}} p_i^\mu \exp\left[-\frac{p_i^\mu u_\mu(x_i)}{T(x_i)}\right] C_n^B(x_1, \dots, x_n)$$



## **RHIC-BES-I:** Net proton cumulant ratios (MUSIC)



#### VV, V. Koch, C. Shen, Phys. Rev. C 105, 014904 (2022)



- Data at  $\sqrt{s_{NN}} \ge 20$  GeV consistent with non-critical physics (BQS conservation and repulsion)
- Effect from baryon conservation is stronger than repulsion but both are required at  $\sqrt{s_{NN}} \ge 20$  GeV
- Deviations from baseline at lower energies?

## Hints from RHIC-BES-I



VV, V. Koch, C. Shen, Phys. Rev. C 105, 014904 (2022)

#### Subtracting the hydro baseline







## • No smoking gun signature for CP in ordinary cumulants

• More structure seen in factorial cumulants



# Ordinary cumulants

# Factorial cumulants

What are factorial cumulants?

## Factorial cumulants $\hat{C}_n$ vs ordinary cumulants $C_n$

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**Factorial cumulants:** ~irreducible n-particle correlations

$$C_n \sim \langle N(N-1)(N-2) \dots 
angle_c$$
  
 $\hat{C}_1 = C_1$   
 $\hat{C}_2 = C_2 - C_1$   
 $\hat{C}_3 = C_3 - 3C_2 + 2C_1$   
 $\hat{C}_4 = C_4 - 6C_3 + 11C_2 - 6C_1$ 

[Bzdak, Koch, Strodthoff, PRC 95, 054906 (2017); Kitazawa, Luo, PRC 96, 024910 (2017); C. Pruneau, PRC 100, 034905 (2019)]

#### Factorial cumulants and different physics mechanisms

- Baryon conservation [Bzdak, Koch, Skokov, EPJC '17]
- Excluded volume [VV et al, PLB '17]
- Volume fluctuations [Holzman et al., arXiv:2403.03598]
- Critical point [Ling, Stephanov, PRC '16]

 $\hat{C}_n^{
m cons} \propto (\hat{C}_1)^n / \langle N_{
m tot} 
angle^{n-1}$  small  $\hat{C}_n^{
m EV} \propto b^n$  small  $egin{aligned} C_1 &= \hat{C}_1 \ C_2 &= \hat{C}_2 + \hat{C}_1 \end{aligned}$ 

**Ordinary cumulants:** mix corrs. of different orders

 $C_n \sim \langle \delta N^n \rangle_c$ 

 $C_3 = \hat{C}_3 + 3\hat{C}_2 + \hat{C}_1$  $C_4 = \hat{C}_4 + 6\hat{C}_3 + 7\hat{C}_2 + \hat{C}_1$ 

- proton vs baryon  $\hat{C}_n^B \sim 2^n \times \hat{C}_n^p$  same sign! [Kitazawa, Asakawa, PRC '12]
- $\hat{C}_n^{CF} \sim (\hat{C}_1)^n \kappa_n[V]$  depends on volume cumulants
- $\hat{C}_2^{CP} \sim \xi^2$ ,  $\hat{C}_3^{CP} \sim \xi^{4.5}$ ,  $\hat{C}_4^{CP} \sim \xi^7$  large

#### **Factorial cumulants and long-range correlations**



#### A. Bzdak, V. Koch, VV, in preparation

•



#### From M. Stephanov (SQM2024):

$$\omega_n = \hat{C}_n / \hat{C}_1$$



Bzdak et al review 1906.00936

Expected signatures: bump in  $\omega_2$  and  $\omega_3$ , dip then bump in  $\omega_4$  for CP at  $\mu_B > 420$  MeV

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## Factorial cumulants from RHIC-BES-II



#### From M. Stephanov (SQM2024):



#### baseline (hydro):

VV, V. Koch, C. Shen, PRC 105, 014904 (2022)

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## Factorial cumulants from RHIC-BES-II



#### From M. Stephanov (SQM2024):



#### baseline (hydro):

VV, V. Koch, C. Shen, PRC 105, 014904 (2022)

- describes right side of the peak in  $\hat{C}_3$
- implies
  - positive  $\hat{C}_2$  baseline > 0
  - *negative*  $\hat{C}_3$  baseline < 0

Bzdak et al review 1906.00936

Expected signatures: bump in  $\omega_2$  and  $\omega_3$ , dip then bump in  $\omega_4$  for CP at  $\mu_B > 420$  MeV

### Factorial cumulants from RHIC-BES-II and CP





Adapted from Bzdak, Koch, Strodthoff, PRC 95, 054906 (2017)

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## Factorial cumulants from RHIC-BES-II and CP





How it may look like in  $T - \mu_B$  plane



Based on QvdW model of nuclear matter VV, Anchishkin, Gorenstein, Poberezhnyuk, PRC 92, 054901 (2015)

Freeze-out of fluctuations of the QGP side of the crossover?

#### Nuclear liquid-gas transition





HRG with attractive and repulsive interactions among baryons

VV, Gorenstein, Stoecker, Phys. Rev. Lett. 118, 182301 (2017)

## **Nuclear liquid-gas transition**





VV, Gorenstein, Stoecker, Phys. Rev. Lett. 118, 182301 (2017)

#### Factorial cumulants and nuclear liquid-gas transition

Calculation in a van der Waals-like HRG model



VV, Gorenstein, Stoecker, EPJA 54, 16 (2018)

Shaded regions: negative values

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## Factorial cumulants and nuclear liquid-gas transition

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Calculation in a van der Waals-like HRG model along the freeze-out curve\*

VV, Gorenstein, Stoecker, EPJA 54, 16 (2018)



\*Poberezhnyuk et al., PRC 100, 054904 (2019)

## Summary



- Proton cumulants are uniquely sensitive to the the CP but challenging to model dynamically
  - local charge conservation
  - factorial cumulants are especially advantageous
- BES-II data
  - Protons are consistent with the *prediction* from non-critical hydro at  $\sqrt{s_{NN}} \ge 20$  GeV
  - Non-monotonic structure in factorial cumulants
  - Positive  $\hat{C}_2$  and negative  $\hat{C}_3$  after subtracting non-critical baseline at  $\sqrt{s_{NN}} < 10$  GeV
    - QGP side of the crossover using naïve equilibrium interpretation
    - Nuclear liquid-gas contribution?

#### Outlook:

- Improved description of local conservation, volume fluctuations, and nuclear interactions
- Test global conservation + volume fluctuations baseline through  $\hat{C}_n/(\hat{C}_1)^n$  scaling
- Understanding factorial cumulants of antiprotons

## Thanks for your attention!