Proton number cumulants in heavy-ion collisions and search for the QCD critical point

Volodymyr Vovchenko (University of Houston)

INT Workshop INT-20R-1C "Chirality and Criticality: Novel Phenomena in Heavy-Ion Collisions"

August 23, 2023





QCD phase structure



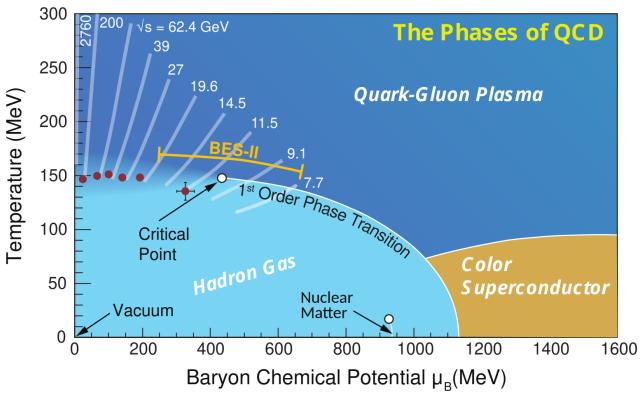


Figure from Bzdak et al., Phys. Rept. '20

- ho Dilute hadron gas at low T & $\mu_{
 m B}$ due to confinement, quark-gluon plasma high T & $\mu_{
 m B}$
- Nuclear liquid-gas transition in cold and dense matter, lots of other phases conjectured

Where is the critical point? Ask Al



ChatGPT struggles



Is there a QCD critical point and where is it?



As of my knowledge cutoff in September 2021, the existence and precise location of a critical point in the phase diagram of Quantum Chromodynamics (QCD), the theory of the strong interaction between quarks and gluons, was not definitively established.

However, finding the QCD critical point using lattice QCD is a particularly challenging problem due to the so-called "sign problem." The sign problem arises when trying to extend lattice QCD calculations from zero to non-zero baryon chemical potential, which is necessary to locate the critical point on the phase diagram. At non-zero chemical potential, the action of the QCD path integral becomes complex, which makes the standard Monte Carlo techniques used in lattice QCD simulations ineffective.



Experimental searches for the QCD critical point in heavy-ion collisions generally rely on identifying fluctuations and correlations in the properties of the particles produced in the collisions, which are expected to exhibit unique features near the critical point.

Where is the critical point? Ask Al



ChatGPT struggles



Is there a QCD critical point and where is it?



As of my knowledge cutoff in September 2021, the existence and precise location of a critical point in the phase diagram of Quantum Chromodynamics (QCD), the theory of the strong interaction between quarks and gluons, was not definitively established.

...

However, finding the QCD critical point using lattice QCD is a particularly challenging problem due to the so-called "sign problem." The sign problem arises when trying to extend lattice QCD calculations from zero to non-zero baryon chemical potential, which is necessary to locate the critical point on the phase diagram. At non-zero chemical potential, the action of the QCD path integral becomes complex, which makes the standard Monte Carlo techniques used in lattice QCD simulations ineffective.

•••



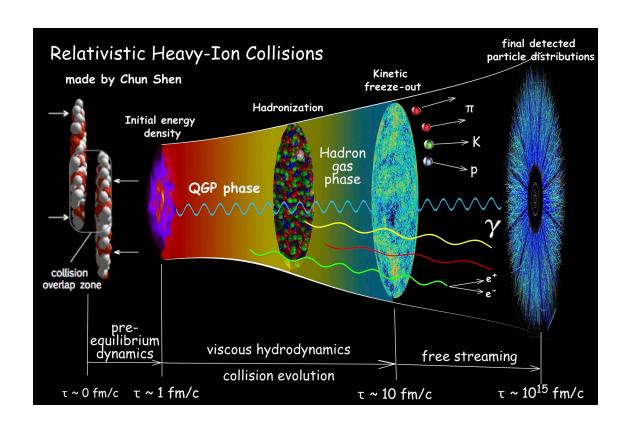
Experimental searches for the QCD critical point in heavy-ion collisions generally rely on identifying fluctuations and correlations in the properties of the particles produced in the collisions, which are expected to exhibit unique features near the critical point.

No issues for Google



Relativistic heavy-ion collisions





Control parameters

- Collision energy $\sqrt{s_{NN}} = 2.4 5020 \text{ GeV}$
- Size of the collision region

Measurements

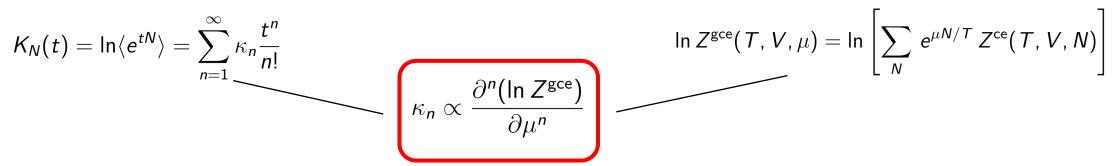
Final hadron abundances and momentum distributions event-by-event

Event-by-event fluctuations and statistical mechanics



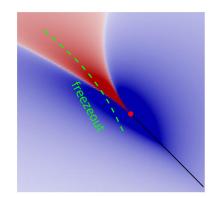
Cumulant generating function

Grand partition function



Cumulants measure chemical potential derivatives of the (QCD) equation of state

• (QCD) critical point — large correlation length, critical fluctuations of baryon number

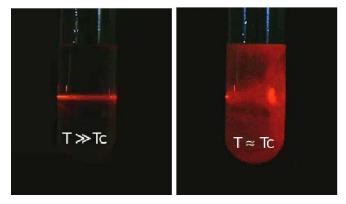


M. Stephanov, PRL '09, '11 Energy scans at RHIC (STAR) and CERN-SPS (NA61/SHINE)

$$\kappa_2 \sim \xi^2$$
, $\kappa_3 \sim \xi^{4.5}$, $\kappa_4 \sim \xi^7$ $\xi \to \infty$

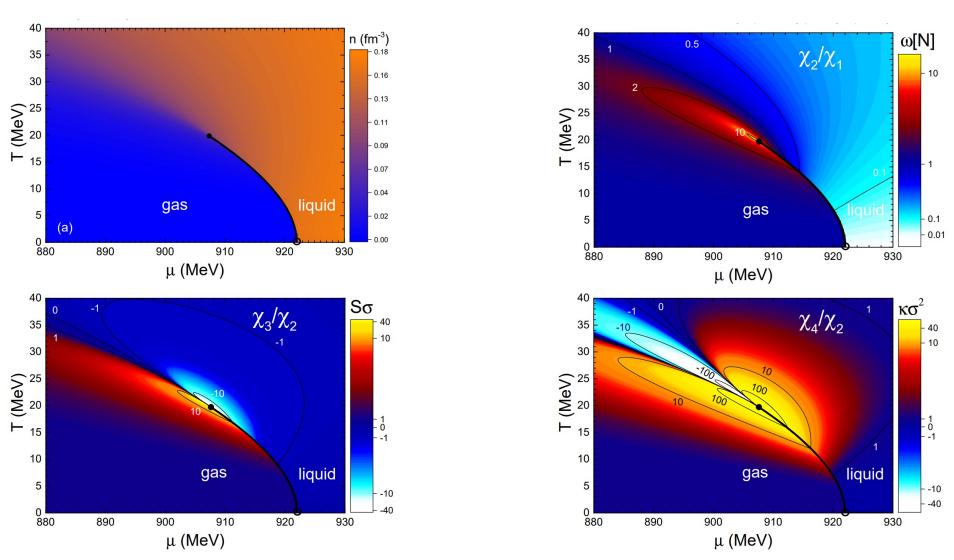
Looking for enhanced fluctuations and non-monotonicities

Critical opalescence



Example: Nuclear liquid-gas transition





VV, Anchishkin, Gorenstein, Poberezhnyuk, PRC 92, 054901 (2015)

Example: Lennard-Jones fluid



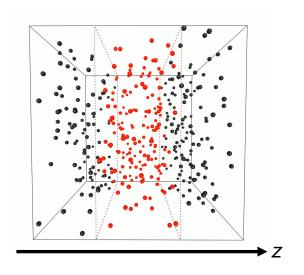
Kuznietsov, Savchuk, Gorenstein, Koch, VV, Phys. Rev. C 105, 044903 (2022)

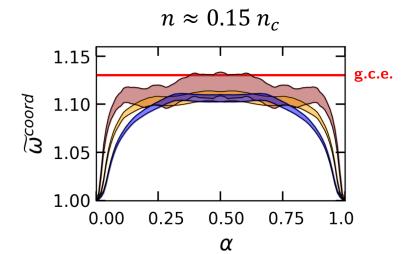
Classical molecular dynamics simulations* of a **Lennard-Jones fluid** along the (super)critical isotherm $(T \approx 1.06T_c)$ of the liquid-gas transition

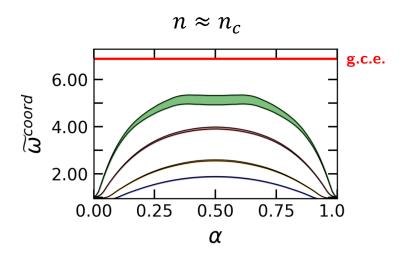
Microcanonical (const. EVN) ensemble with periodic boundary conditions

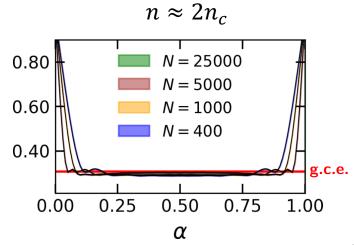
Variance of conserved particle number distribution inside coordinate space subvolume $|z| < z^{max}$ as time average

$$ilde{\omega}^{\mathsf{coord}} = rac{1}{1-lpha}\,rac{\langle extsf{ extit{N}}^2
angle - \langle extsf{ extit{N}}
angle^2}{\langle extsf{ extit{N}}
angle}$$









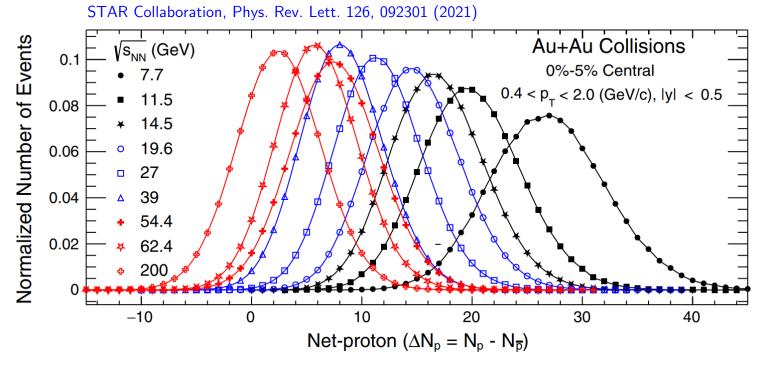
^{*}Molecular dynamics code from https://github.com/vlvovch/lennard-jones-cuda

Measuring cumulants in heavy-ion collisions



Count the number of events with given number of e.g. (net) protons

$$P(\Delta N_p) \sim rac{N_{
m events}(\Delta N_p)}{N_{events}^{total}}$$



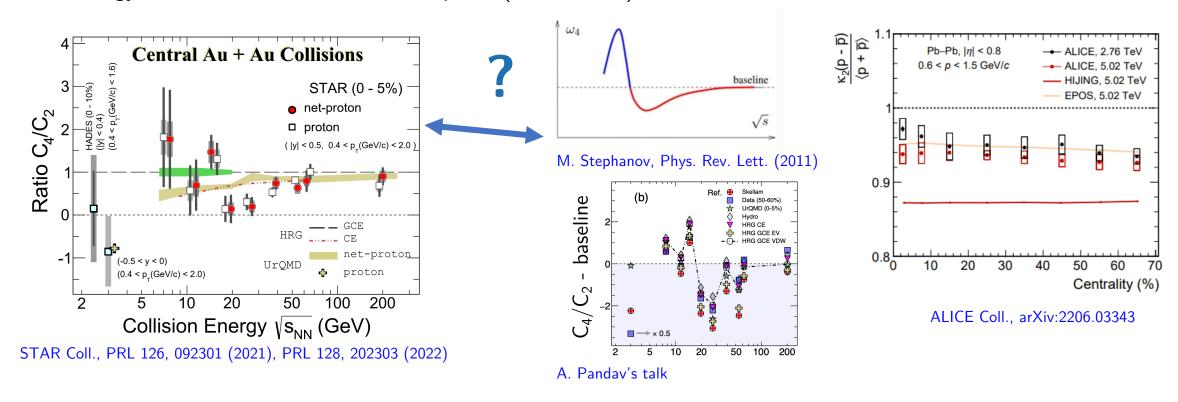
Cumulants are extensive, $\kappa_n \sim V$, use ratios to cancel out the volume

$$\frac{\kappa_2}{\langle N \rangle}$$
, $\frac{\kappa_3}{\kappa_2}$, $\frac{\kappa_4}{\kappa_2}$

Experimental measurements



Beam energy scan in search for the critical point (STAR Coll.)



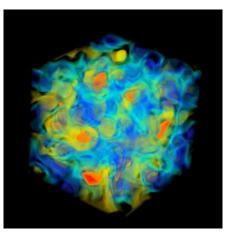
Reduced errors (better statistics), more energies, to come soon from RHIC-BES-II program, STAR-FXT etc.

Can we learn more from the more accurate data available for κ_2 and κ_3 ?

Theory vs experiment: Challenges for fluctuations



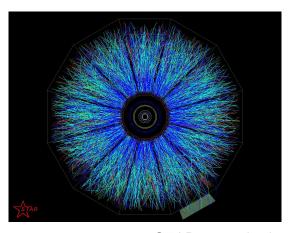
Theory



© Lattice QCD@BNL

- Coordinate space
- In contact with the heat bath
- Conserved charges
- Uniform
- Fixed volume

Experiment



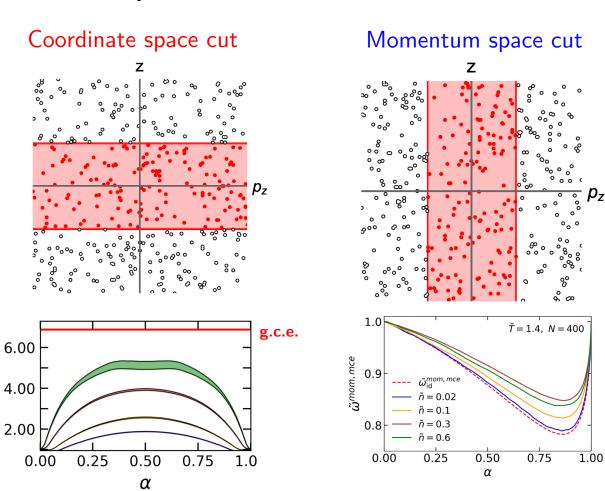
STAR event display

- Momentum space
- Expanding in vacuum
- Non-conserved particle numbers
- Inhomogenous
- Fluctuating volume

Coordinate vs Momentum space



Box setup: Coordinates and momenta are uncorrelated



 $\widetilde{oldsymbol{\omega}}$ coord

Large correlations

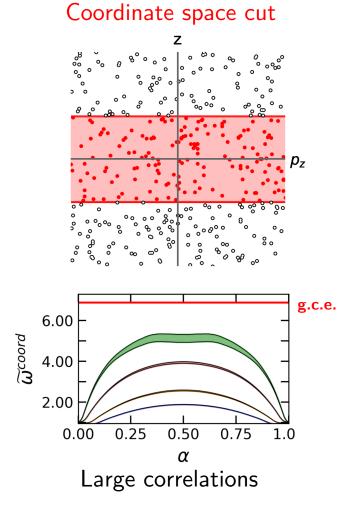
Nothing left

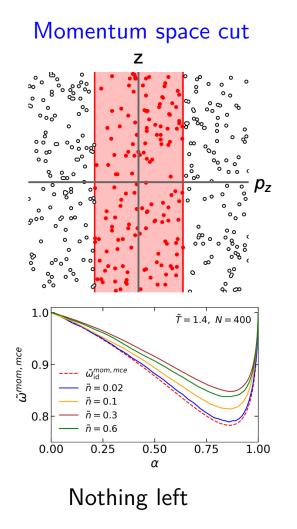
Coordinate vs Momentum space

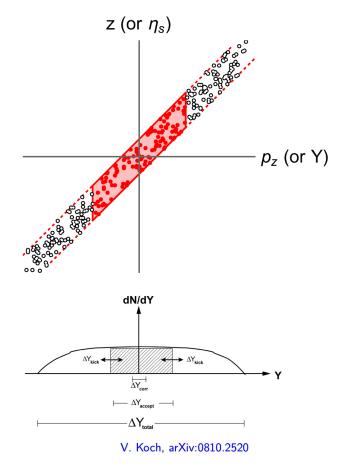


Box setup: Coordinates and momenta are uncorrelated

HICs: Flow (e.g. Bjorken)







momentum cut ~ coordinate cut + smearing

Dynamical approaches to the QCD critical point search



Dynamical model calculations of critical fluctuations



[X. An et al., Nucl. Phys. A 1017, 122343 (2022)]

- Fluctuating hydrodynamics (hydro+) or molecular dynamics
- Equation of state with a tunable critical point [P. Parotto et al, PRC 101, 034901 (2020); J. Karthein et al., EPJ Plus 136, 621 (2021)]
- Generalized Cooper-Frye particlization [M. Pradeep, et al., PRD 106, 036017 (2022); PRL 130, 162301 (2023)]

Alternatives at high μ_B : hadronic transport/molecular dynamics with a critical point [A. Sorensen, V. Koch, PRC 104, 034904 (2021); V. Kuznietsov et al., PRC 105, 044903 (2022)]

Deviations from precision calculations of non-critical fluctuations

- Include essential non-critical contributions to (net-)proton number cumulants
- Exact baryon conservation + hadronic interactions (hard core repulsion)
- Based on realistic hydrodynamic simulations tuned to bulk data

[VV, C. Shen, V. Koch, Phys. Rev. C 105, 014904 (2022)]

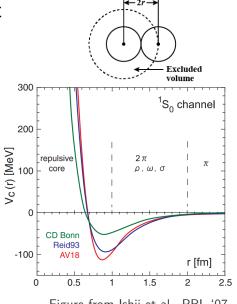


Figure from Ishii et al., PRL '07

Excluded volume effect

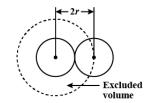


Incorporate repulsive baryon (nucleon) hard core via excluded volume

VV, M.I. Gorenstein, H. Stoecker, Phys. Rev. Lett. 118, 182301 (2017)

Amounts to a van der Waals correction for baryons in the HRG model

$$V \rightarrow V - bN$$



$$p_{B(ar{B})}^{\mathsf{ev}} = p_{B(ar{B})}^{\mathsf{id}} \, \mathbf{e}^{-bp_{B(ar{B})}^{\mathsf{ev}}/T}$$

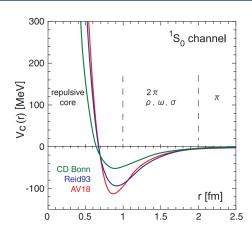


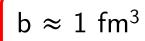
Figure from Ishii et al., PRL '07

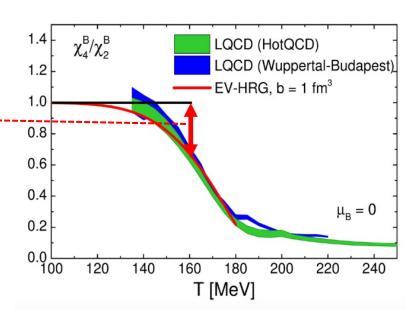
Net baryon kurtosis suppressed as in lattice QCD*

$$rac{\chi_4^B}{\chi_2^B} \simeq 1 - rac{12b\phi_B(\mathcal{T}) + O(b^2)}{2}$$

Reproduces virial coefficients of baryon interaction from lattice QCD
 VV, A. Pasztor, S. Katz, Z. Fodor, H. Stoecker, Phys. Lett. B 755, 71 (2017)

Excluded volume from lattice QCD:





Hydrodynamic description within non-critical physics



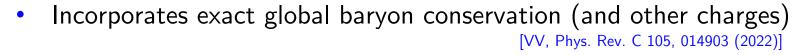
VV, V. Koch, C. Shen, Phys. Rev. C 105, 014904 (2022)

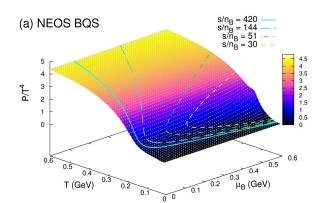
- Collision geometry based 3D initial state
 - Constrained to net proton distributions [Shen, Alzhrani, Phys. Rev. C 102, 014909 (2020)]
- Viscous hydrodynamics evolution MUSIC-3.0
 - Energy-momentum and baryon number conservation
 - Crossover equation of state based on lattice QCD [Monnai, Schenke, Shen, Phys. Rev. C 100, 024907 (2019)]
- Cooper-Frye particlization at $\epsilon_{sw} = 0.26 \; \text{GeV/fm}^3$

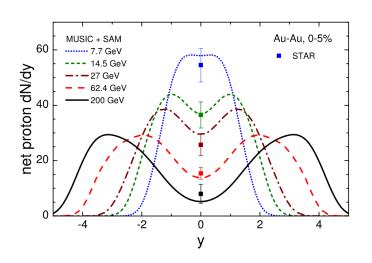
$$\omega_{p} \frac{dN_{j}}{d^{3}p} = \int_{\sigma(x)} d\sigma_{\mu}(x) p^{\mu} \frac{d_{j} \lambda_{j}^{\text{ev}}(x)}{(2\pi)^{3}} \exp\left[\frac{\mu_{j}(x) - u^{\mu}(x)p_{\mu}}{T(x)}\right].$$

- Particlization respects QCD-based baryon number distribution
 - Incorporated via baryon excluded volume $b=1~{\rm fm^3}$

[VV, V. Koch, Phys. Rev. C 103, 044903 (2021)]







Absent: critical point, local conservation, initial-state/volume fluctuations, hadronic phase

Calculating cumulants from hydrodynamics



- Analytic approach VV, V. Koch, C. Shen, Phys. Rev. C 105, 014904 (2022)
 - Calculate proton cumulants in the experimental acceptance in the grand-canonical limit using the Cooper-Frye formula to model acceptance effect
 - Apply correction for the exact global baryon number conservation (SAM-2.0)
 VV, Phys. Rev. C 105, 014903 (2022)

Pros: Calculate high-order cumulants (up to 8th order) without the need for large statistics

Cons: The method is approximate and not easily extendable to other observables

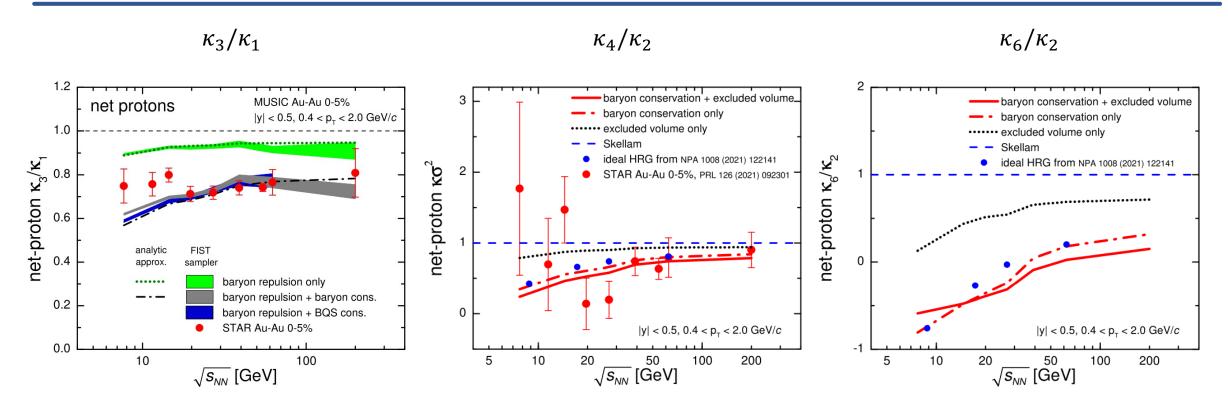
- Monte Carlo approach (FIST sampler) VV, Phys. Rev. C 106, 064906 (2022) https://github.com/vlvovch/fist-sampler
 - Event generator (Cooper-Frye particlization)
 - Conservation laws (baryon number, also charge and strangeness) via rejection sampling
 - Excluded volume effect by rejecting coordinate space overlap of baryons

Pros: Flexibility of an event generator, more accurate

Cons: Need large statistics for high-order cumulants

RHIC-BES: Net proton cumulant ratios (MUSIC)





- Data at $\sqrt{s_{NN}} \ge 20$ GeV consistent with non-critical physics (BQS conservation and repulsion)
- Effect from baryon conservation is larger than from repulsion
- Canonical ideal HRG limit is consistent with the data-driven study of [Braun-Munzinger et al., 2007.02463]
- κ_6/κ_2 turns negative at $\sqrt{s_{NN}} \sim 50$ GeV

Removing the "net" part: Proton variance



Net-proton
$$\kappa_2/\kappa_1 \sim \frac{\langle p+\bar{p}\rangle}{\langle p-\bar{p}\rangle} \sim \coth(\frac{\mu_B}{T})$$
 in free gas

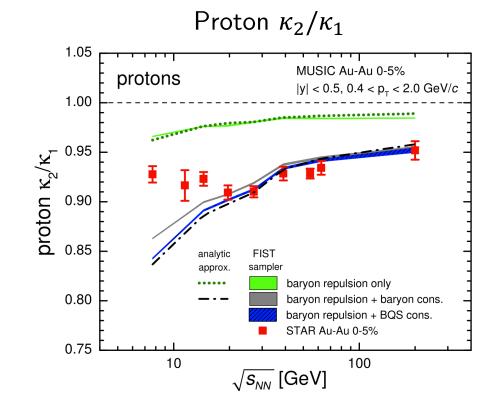
Proton
$$\kappa_2/\kappa_1 \sim \frac{\langle p \rangle}{\langle p \rangle} = 1$$
 in free gas

Removing the "net" part: Proton variance



Net-proton
$$\kappa_2/\kappa_1 \sim \frac{\langle p+\bar{p}\rangle}{\langle p-\bar{p}\rangle} \sim \coth(\frac{\mu_B}{T})$$
 in free gas

Proton
$$\kappa_2/\kappa_1 \sim \frac{\langle p \rangle}{\langle p \rangle} = 1$$
 in free gas



- Data at $\sqrt{s_{NN}} \ge 20$ GeV consistent with non-critical physics (BQS conservation and repulsion)
- Excess of fluctuations in data at $\sqrt{s_{NN}} < 20$ GeV hint of attractive interactions?

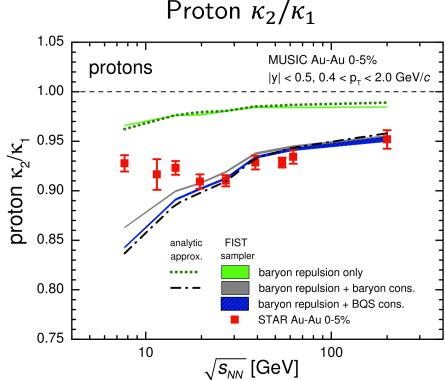
Removing the "net" part: Proton variance

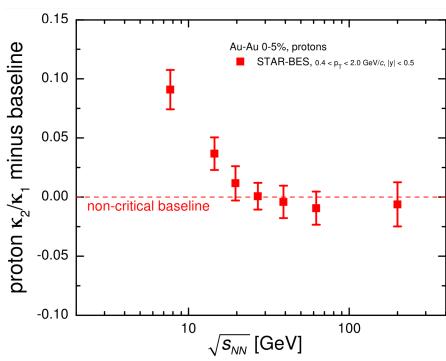


Net-proton
$$\kappa_2/\kappa_1 \sim \frac{\langle p+\bar{p}\rangle}{\langle p-\bar{p}\rangle} \sim \coth(\frac{\mu_B}{T})$$
 in free gas

Proton
$$\kappa_2/\kappa_1 \sim \frac{\langle p \rangle}{\langle p \rangle} = 1$$
 in free gas







- Data at $\sqrt{s_{NN}} \ge 20$ GeV consistent with non-critical physics (BQS conservation and repulsion)
- Excess of fluctuations in data at $\sqrt{s_{NN}} < 20$ GeV hint of attractive interactions?

Correlation Functions (factorial cumulants)



• Analyze genuine multi-particle correlations via **factorial cumulants** \hat{C}_n [Bzdak, Koch, Strodthoff, Phys. Rev. C '17]

$$\hat{C}_1 = \kappa_1,$$
 $\hat{C}_3 = 2\kappa_1 - 3\kappa_2 + \kappa_3,$ $\hat{C}_2 = -\kappa_1 + \kappa_2,$ $\hat{C}_4 = -6\kappa_1 + 11\kappa_2 - 6\kappa_3 + \kappa_4.$

Three- and four-particle correlations are small without a CP

$$\hat{C}_n^{\mathsf{cons}} \propto lpha^n$$
, $\hat{C}_n^{\mathsf{EV}} \propto b^n$ [Bzdak, Koch, Skokov, EPJC '17] [VV et al, PLB '17]

Multi-particle correlations expected near the critical point [Ling, Stephanov, PRC '15]

Correlation Functions (factorial cumulants)



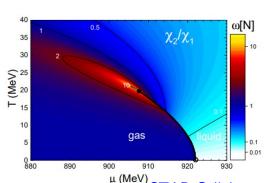
• Analyze genuine multi-particle correlations via **factorial cumulants** \hat{C}_n [Bzdak, Koch, Strodthoff, Phys. Rev. C '17]

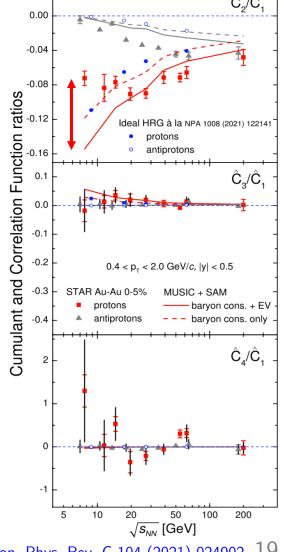
$$\hat{C}_1 = \kappa_1,$$
 $\hat{C}_3 = 2\kappa_1 - 3\kappa_2 + \kappa_3,$ $\hat{C}_2 = -\kappa_1 + \kappa_2,$ $\hat{C}_4 = -6\kappa_1 + 11\kappa_2 - 6\kappa_3 + \kappa_4.$

Three- and four-particle correlations are small without a CP

$$\hat{C}_n^{\mathsf{cons}} \propto lpha^n$$
, $\hat{C}_n^{\mathsf{EV}} \propto b^n$ [Bzdak, Koch, Skokov, EPJC '17] [VV et al, PLB '17]

- Multi-particle correlations expected near the critical point [Ling, Stephanov, PRC '15]
- Signals from the data at $\sqrt{s_{NN}} \le 20$ GeV
 - Excess of two-proton correlations
 - Possibility of significant 4-proton correlations
 - Centrality selection?
 - Critical point?



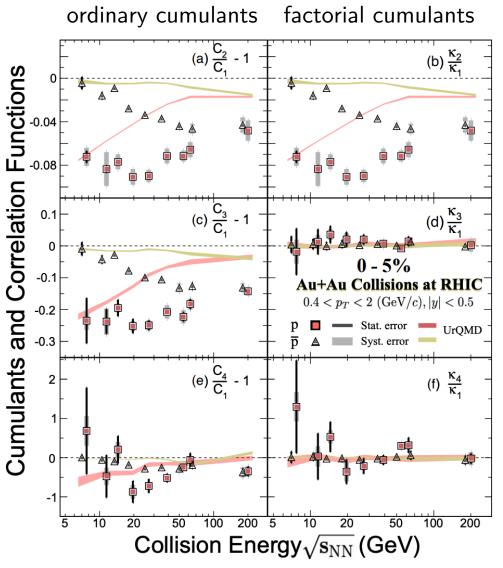


Correlation Functions

 $^{\mu\,\text{(MeV)}}\text{STAR}$ Collaboration, Phys. Rev. C 104 (2021) 024902 $\,19$

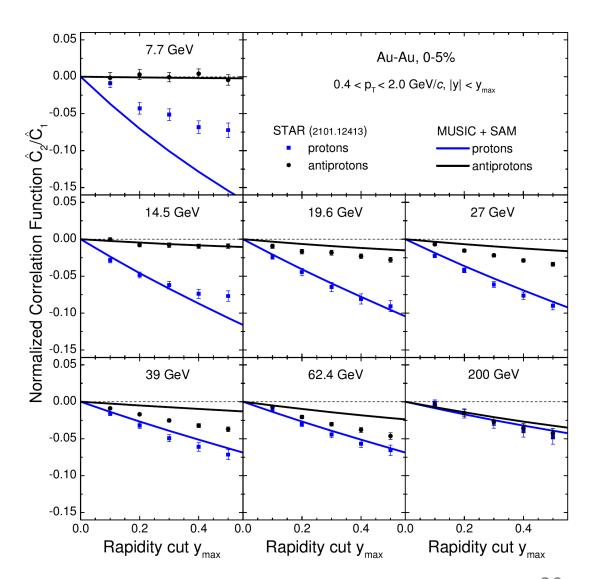
Factorial cumulants in UrQMD





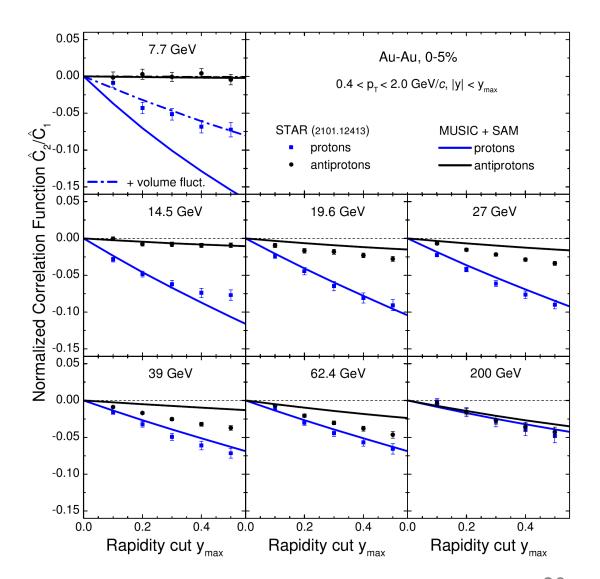


• Changing y_{max} slope at $\sqrt{s_{NN}} \le 14.5$ GeV?



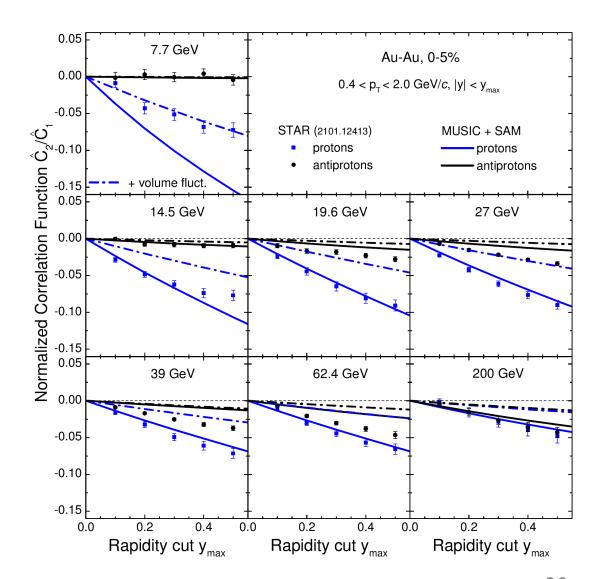


- Changing y_{max} slope at $\sqrt{s_{NN}} \le 14.5$ GeV?
- Volume fluctuations? [Skokov, Friman, Redlich, PRC '13]
 - $C_2/C_1 += C_1 * \Delta v^2$





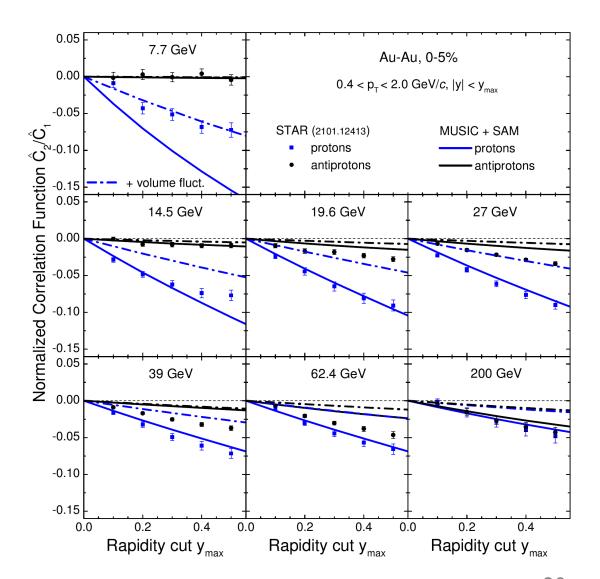
- Changing y_{max} slope at $\sqrt{s_{NN}} \le 14.5$ GeV?
- Volume fluctuations? [Skokov, Friman, Redlich, PRC '13]
 - $C_2/C_1 += C_1 * \Delta v^2$
 - Can improve low energies but spoil high energies?





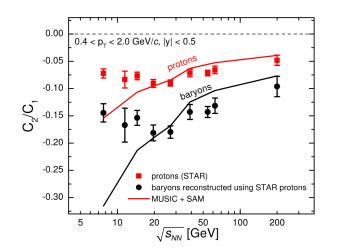
- Changing y_{max} slope at $\sqrt{s_{NN}} \le 14.5$ GeV?
- Volume fluctuations? [Skokov, Friman, Redlich, PRC '13]
 - $C_2/C_1 += C_1 * \Delta v^2$
 - Can improve low energies but spoil high energies?

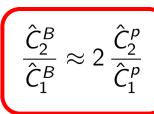
- Attractive interactions?
 - Could work if baryon repulsion turns into attraction in the high- μ_B regime
 - Critical point?

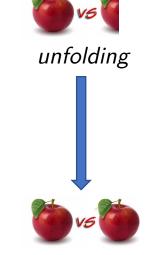


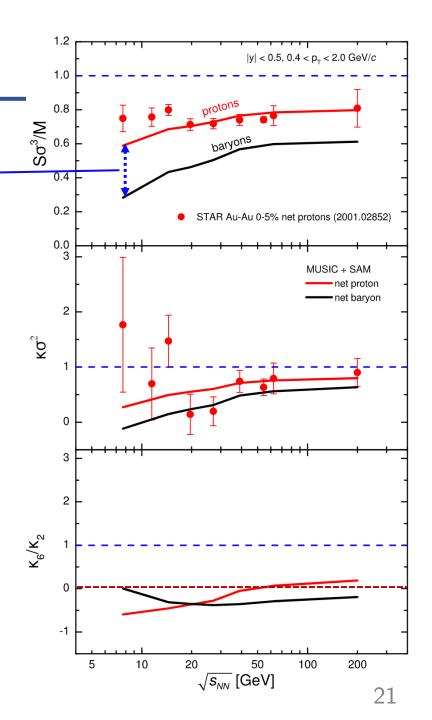
Baryon cumulants from protons

- net baryon ≠ net proton (protons are subset of all baryons)
- Baryon cumulants can be reconstructed from proton cumulants via binomial (un)folding based on isospin randomization [Kitazawa, Asakawa, Phys. Rev. C 85 (2012) 021901]
 - Amounts to an additional "efficiency correction" and requires the use of joint factorial moments, only experiment can do it model-independently









Lower energies $\sqrt{s_{NN}} \le 7.7$ GeV



Au-Au 0-5%, protons

STAR-BES, $0.4 < p_T < 2.0 \text{ GeV/}c$, |y| < 0.5

STAR-FXT, $0.4 < p_T < 2.0 \text{ GeV/}c$, -0.5 < y < 0HADES, $0.4 < p_T < 1.6 \text{ GeV/}c$, |y| < 0.4

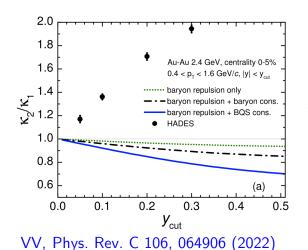
Au-Au 0-5%, protons, 0.4 < p_T < 2.0 GeV/c, |y| < 0.5 MUSIC + SAM-2.0. PRC 105 (2022) 014904

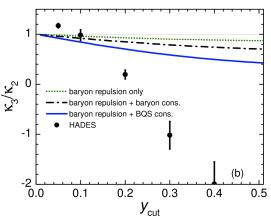
100

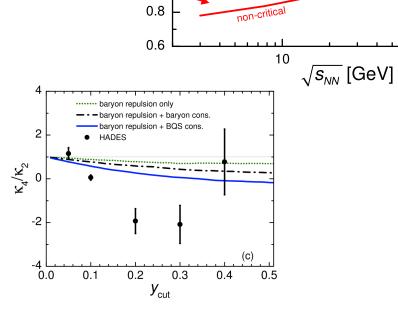
 Intriguing hints from HADES@ 2.4 GeV and STAR-FXT@3GeV: huge excess of two-proton correlations! [HADES Collaboration, Phys. Rev. C 102, 024914 (2020)]

[STAR Collaboration, Phys. Rev. Lett. 128, 202303 (2022)]

- No change of trend in the non-critical reference
- Additional mechanisms:
 - Nuclear liquid-gas transition
 - Light nuclei formation/fragmentation







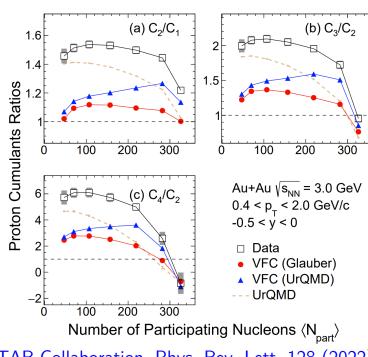
2.0

1.8

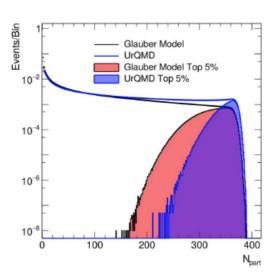
broton K_2/K_1 1.6
1.2

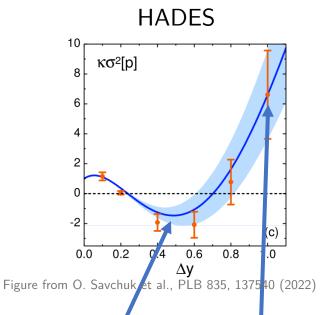
Closer look at data at lower energies





STAR-FXT



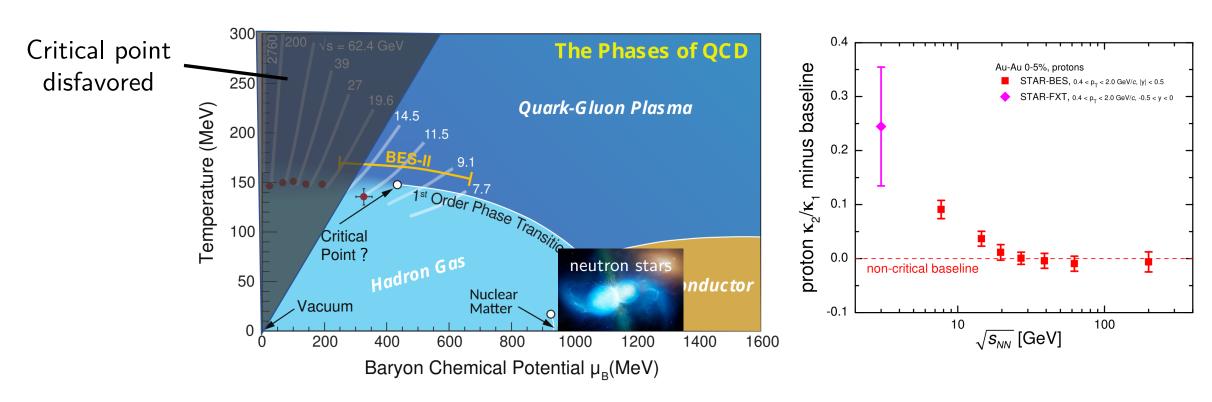


STAR Collaboration, Phys. Rev. Lett. 128 (2022) 202303

- Volume fluctuations/centrality selection appear to play an important role
 - UrQMD is useful for understanding basic systematics associated with it
- Indications for enhanced scaled variance, $\kappa_2/\kappa_1 > 1$
- κ_4/κ_2 negative and described by UrQMD (purely hadronic?), note -0.5<y<0 instead of |y|<0.5

Summary: What we learned so far from fluctuations





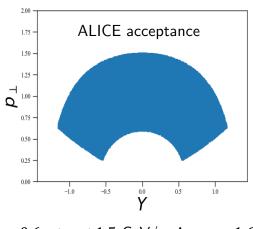
- Data at high energies $(\sqrt{s_{NN}} \ge 20 \text{ GeV})$ consistent with "non-critical" physics
 - Disfavors QCD critical point at μ_B/T <2-3, consistent with what we know from lattice QCD
- Interesting indications for (multi)-proton correlations at $\sqrt{s_{NN}} \le 7.7$ GeV, better modeling is required

Thanks for your attention!

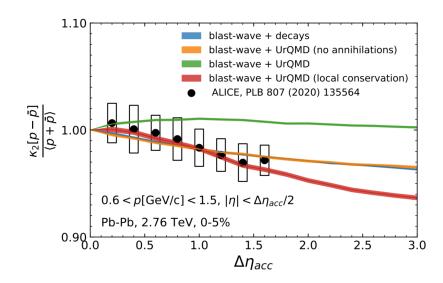
Backup slides

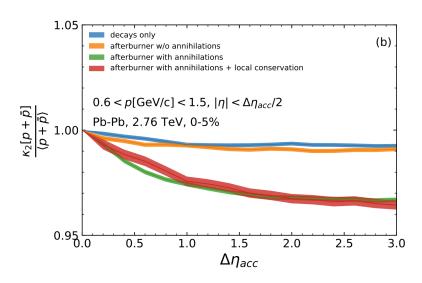
Net-particle fluctuations at the LHC (blast-wave model)

- Net protons described within errors and consistent with either
- VV, Koch, Phys. Rev. C 103, 044903 (2021)
- global baryon conservation without BB annihilations in the hadronic phase see e.g. ALICE Coll. arXiv:2206.03343
- or local baryon conservation with BB annihilations in the hadronic phase
 - O. Savchuk et al., Phys. Lett. B 827, 136983 (2022)







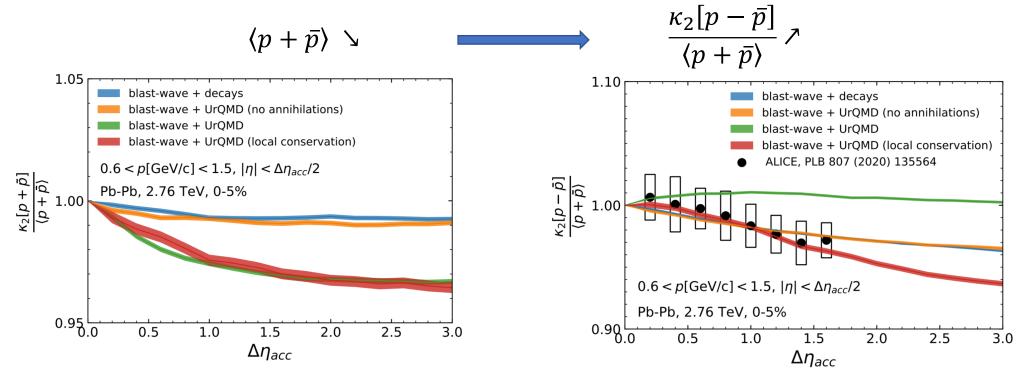


Data on (net-)proton fluctuations can constrain the effect of annihilations in the hadronic phase

Effects of baryon annihilation and local conservation

O. Savchuk, V.V., V. Koch, J. Steinheimer, H. Stoecker, arXiv:2106.08239

Baryon annihilation $B\bar{B} \to n\pi$ in afterburners (UrQMD, SMASH) suppresses baryon yields

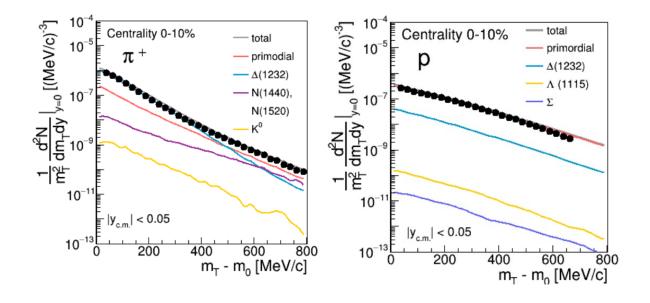


- ALICE data requires local baryon conservation across $\Delta y \sim \pm 1.5$ with UrQMD annihilations (no regenerations) or global conservation ($\Delta y \sim \Delta y_{tot}$) without annihilations
- Local conservation and $B\bar{B}$ annihilation can be constrained from data through the combined analysis of $\kappa_2[p-\bar{p}]$ and $\kappa_2[p+\bar{p}]$

- Single freeze-out scenario: Emission from Siemens-Rasmussen hypersurface with Hubblelike flow
 - \rightarrow Pion and proton spectra o.k.

[S. Harabasz et al., PRC 102, 054903 (2020)]

• Uniform $T \approx 70$ MeV, $\mu_B \approx 875$ MeV across the fireball [A. Motornenko et al., PLB 822, 136703 (2021)]



Fluctuations:

- Same as before but incorporate additional binomial filtering to account for protons bound in light nuclei
- Uniform fireball \rightarrow Final proton cumulants are linear combinations of baryon susceptibilities χ_n^B at freezeout

$$\kappa_n^p = \sum_{m=1}^n \alpha_{n,m} \, \chi_m^B$$

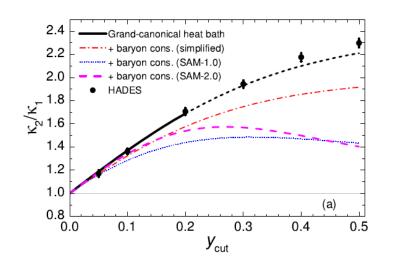


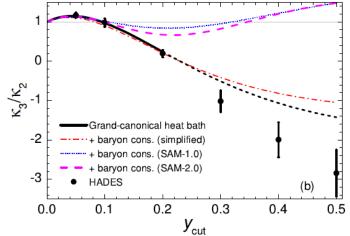
Extract χ_n^B directly from experimental data

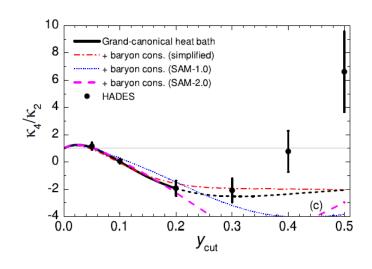
- Fit baryon susceptibilities to data within a fireball model (Siemens-Rasmussen*)
- In the grand-canonical limit (no baryon conservation, small y_{cut}) the data are described well with

$$\frac{\chi_2^B}{\chi_1^B} \sim 9.17 \pm 0.21, \qquad \frac{\chi_3^B}{\chi_2^B} \sim -33.1 \pm 0.8, \qquad \frac{\chi_4^B}{\chi_2^B} \sim 691 \pm 50, \quad \text{i.e.} \quad \left(\chi_4^B \gg -\chi_3^B \gg \chi_2^B \gg \chi_1^B\right)$$

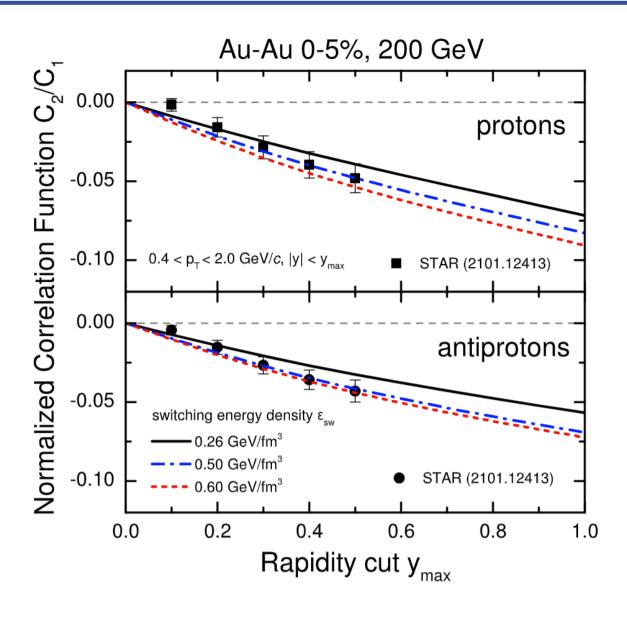
- Could be indicative of a *critical point* near the HADES freeze-out at $T\sim70$ MeV, $\mu_B\sim875$ MeV
- However, the results for $y_{cut} > 0.2$ are challenging to describe with baryon conservation included





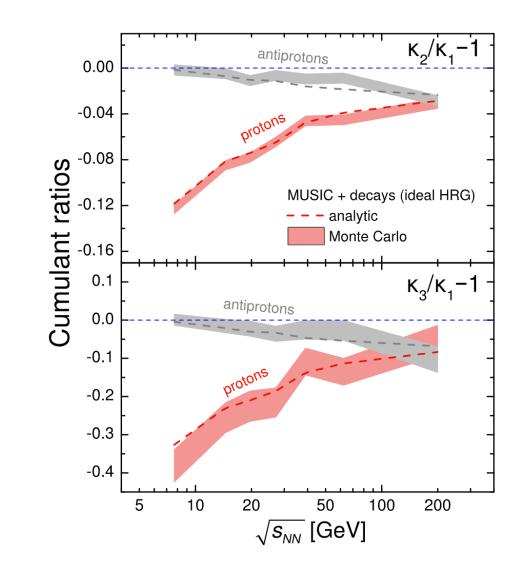


Dependence on the switching energy density



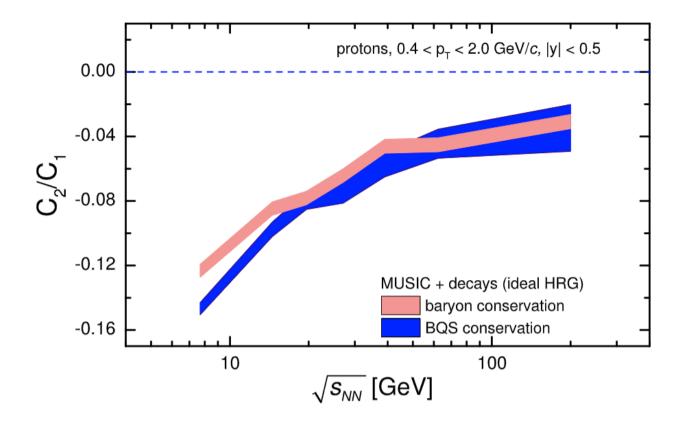
Cross-checking the cumulants with Monte Carlo

- Sample canonical ideal HRG model at particlization with Thermal-FIST
- Analytic results agree with Monte Carlo within errors



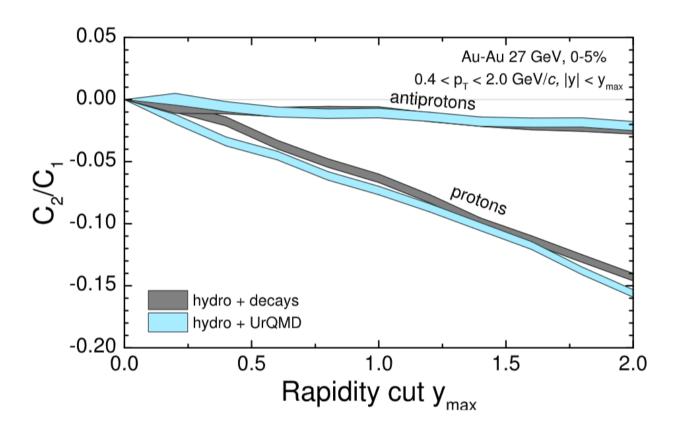
Exact conservation of electric charge

- Sample ideal HRG model at particlization with exact conservation of baryon number, electric charge, and strangeness using Thermal-FIST
- Protons are affected by electric charge conservation at $\sqrt{s_{NN}} \le 14.5$ GeV



Effect of the hadronic phase

Sample ideal HRG model at particlization with exact conservation of baryon number using Thermal-FIST and run through hadronic afterburner UrQMD



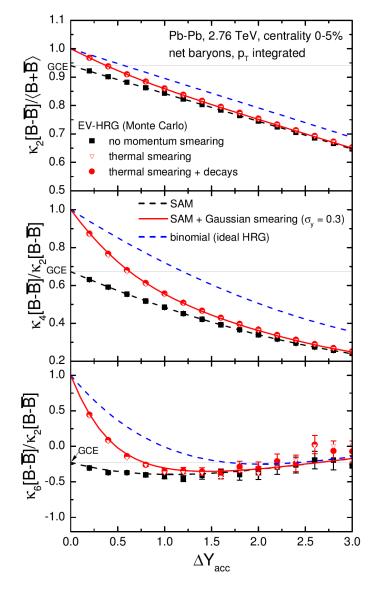
Net baryon fluctuations at LHC

 Global baryon conservation distorts the cumulant ratios already for one unit of rapidity acceptance

e.g.
$$\frac{\chi_4^B}{\chi_2^B}\bigg|_{T=160 MeV}^{\text{GCE}} \stackrel{\text{"lattice QCD"}}{\simeq 0.67} \neq \frac{\chi_4^B}{\chi_2^B}\bigg|_{\Delta Y_{\text{acc}}=1}^{\text{HIC}} \simeq 0.56$$

Neglecting thermal smearing, effects of global conservation can be described analytically via SAM

Effect of resonance decays is negligible



VV, Koch, arXiv:2012.09954

Net baryon vs net proton ******



- Thermal smearing distorts the signal at $\Delta Y_{accept} \leq 1$. Net baryons converge to model-independent SAM result at larger ΔY_{accept}
- net baryon \neq net proton, e.g.

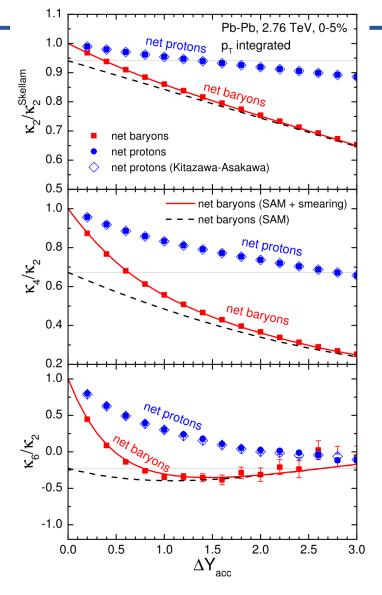
$$\frac{\chi_4^B}{\chi_2^B}\Big|_{\Delta Y_{\rm acc}=1}^{\rm HIC} \simeq 0.56 \neq \frac{\chi_4^P}{\chi_2^P}\Big|_{\Delta Y_{\rm acc}=1}^{\rm HIC} \simeq 0.83$$

- cumulants can be reconstructed proton cumulants via binomial (un)folding based on isospin randomization [Kitazawa, Asakawa, Phys. Rev. C 85 (2012) 021901]
 - Requires the use of joint factorial moments, only experiment can do it model-independently



unfolding





VV. Koch, arXiv:2012.09954